



WEALTHWARE BENEFITS

- Improve advisor and investor satisfaction and retention with a broader product offering and highly configurable rules that permit value-add solutions
- Provide superior customer service with after-hours trading capabilities and wireless capability for access anytime, anywhere
- React immediately to market conditions and client demands
- Improve order quality, reduce processing times and enforce compliance with complex pre-trade business rule validations based on live quote and real-time back-office information
- Improve advisor efficiency by integrating Wealthware with portfolio management applications and other front- and back-office systems
- Confidently address audit requests with sophisticated data tracking capabilities

DELIVERING SUPERIOR TECHNOLOGY SOLUTIONS TO THE FINANCIAL SERVICES INDUSTRY

Wealthware is an integrated suite of products designed to support an enterprise-wide wealth management strategy. It includes a full-featured suite of real-time order management applications that integrate with your front office (financial planning, portfolio management and CRM applications), back office and enterprise systems.

The Wealthware integrated advisor desktop provides rich order management capabilities authorized through role-based security with single sign-on access authenticated by your identity management solution.

FIS EQUITIES

FIS Equities provides real-time order management for equities, options and other exchange traded securities such as debentures, warrants and rights. Different order types are supported, including short sells, GT, AON, FOK, market, limit, stop, stop limit, split and block orders.

FIS FUNDS

FIS Funds provides real-time straight-through processing of mutual fund orders, dividends, transfers, nonfinancial updates, asset allocation rebalancing, PAC/AWD and WRAP transactions between the dealer, FundSERV and mutual fund companies. FIS Funds supports all FundSERV ESG product types including mutual funds, seg funds, LSIF funds and pooled funds and is fully compliant with the FundSERV ESG data standards.

FIS BONDS

FIS Bonds provides real-time inventory management and trading of fixed income securities including GICs, federal and provincial bonds, municipal bonds, U.S. treasuries, corporate bonds, strips, T-bills, commercial paper, mortgage-backed securities and Euros.

FIS FX

FIS FX provides real-time distribution of foreign exchange quotes and execution of cash conversions to support the trade desk in managing currency exposure. Transfers between accounts are posted in real time to the back office. FX spreads can be configured on multiple levels, including by account range or line of business. Alerts can be specified based on time intervals or total exposure amount to manage the FX book.

WEALTHWARE HIGHLIGHTS

Wealthware's straight-through processing order management capabilities allow investors and their advisors to request quotes, place and validate orders and view order status all in real-time 24 hours a day.

- Integrated order management for equities, options, fixed income, mutual funds and FX
- Multichannel (advisor, client, wireless, etc.) processing
- Common order flow and single infrastructure to support all distribution channels such as full-service, client-direct or discount
- Real-time validations integrate with other systems including quotes, back-office, inventory, portfolio and risk management systems
- Flexible, institution-specific rules enforcement for workflow and compliance
- Configurable filtering capabilities to optimize product search, order management and online reporting
- Automatic calculation of price, cost, commission and other values
- Supports a wide range of products, pricing models and order types
- Multicurrency order price and settlement value



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If your goal is to reduce your technology expense and make your business more efficient, FIS can help. Wealthware is available on a **license** basis or as '**Software-as-a-Service**' – a complete turnkey solution that offers:

- Application software – create your own unique customized wealth management solution with Wealthware
- Application upgrades and client-specific enhancements – ensure ongoing business needs are met with regular upgrades
- Regulatory – comply with changing industry regulations
- Third-party software – rest easy that all third party software licenses and tools are included and kept current
- Hardware – top-of-the-line hardware is provided to ensure peak performance for business demands
- Hosting infrastructure – state-of-the-art production and disaster recovery facilities guarantee maximum availability
- Security – extensive security measures include controlled entry to the hosting site with smart card and fingerprint biometric access, physical security controls, multilevel firewalls, intrusion detection, virus protection and smoke/fire detection and suppressants
- One point of contact for support – professional staff with unparalleled expertise and a deep understanding of your needs are dedicated to assist with questions, problems and requests for new functionality

FIS' Software-as-a-Service offers all of the above with an easy, predictable payment plan allowing you to reduce technology costs and take your business to a higher level.

WHY FIS?

Some of the world's largest financial institutions have been relying on FIS' proven, dependable, scalable systems to help them:

- Focus on their core competencies
- Reduce costs
- Minimize risk
- Increase competitive advantages
- Improve customer service and profitability

We go beyond offering products and services to support your business success with resources and expertise that leverage the power of your information resources and build enhanced profitability. FIS has designed all of our Wealthware solutions around your priorities and strategies. Let us talk to you about your unique wealth management needs, and how Wealthware can drive performance and ensure compliance throughout your operations.

FIS OVERVIEW

Fidelity National Information Services® (FIS™) is a leading provider of technology and services to the financial services industry. FIS is one of the largest and most successful financial services technology companies in the world – supporting the success of more than 14,000 financial institutions in more than 90 countries – and delivers wealth management and banking solutions to Canada's leading financial institutions. With more than 25 years in the Canadian financial services industry, FIS is dedicated to bringing advanced technology and outsourcing solutions to the market.