



# Compliance Manager

## What is Compliance Manager?

Compliance Manager is a comprehensive solution from Fidelity National Information Services, Inc. (FIS) for managing the regulatory reporting and filing requirements facing financial institutions. It is through Compliance Manager's flexible configuration, easy data access for reporting and interfacing and efficient infrastructure that FIS invokes its comprehensive approach to complying with U.S. federal regulations, effectively minimizing the entire compliance burden.

## Features at a Glance

- A comprehensive compliance data mart that takes a regulatory perspective, instead of a single-system approach, to fulfill multiple regulatory filing and reporting requirements
- Preintegrated with FIS core solutions and supports integration with non-FIS data sources
- Enables federal, state/province and local compliance requirements
- Utilizes the Xamine™ Information Architecture to promote reusable technology while reducing the total cost of ownership
- Leverages FIS Regulatory Solutions for the U.S. Call Report, including CallReporter Premier and Timesaver Premier products

## Frequently Asked Questions

Why should a prospect choose compliance manager? Compliance Manager provides the flexibility to manage frequent regulatory changes efficiently, leverages integration with FIS and non-FIS solutions, and reduces the manual processes that plague most financial institutions.

Why is a single solution important? Because it lowers the total cost of ownership associated with regulatory compliance by minimizing the training to a single solution, providing a single place to manage configuration rules, reducing the ongoing maintenance and upgrades to a single solution, and supporting pre- and postfiling audits as well as actual filing requirements.

Why do I need Compliance Manager? I'm already are complying with regulations. Compliance Manager provides a means to comply from the regulatory perspective, including multiple regulations, all within a single application. Since FIS servicing systems provide between 30-50 percent of the compliance data, Compliance Manager provides the means to integrate FIS and non-FIS data to support the overall regulatory data requirements.

What are the advantages of Compliance Manager's architecture? Compliance Manger utilizes the Xamine™ information architecture as the basis for building and managing the compliance manager data mart, allowing for a single information architecture to be deployed, supporting multiple business intelligence functions. It also provides the flexibility and efficiency to add non-FIS data sources to Compliance Manager. By enabling clients to support



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state and local regulations (most of which are variations of U.S. federal requirements) the Compliance Manager data mart can be leveraged across multiple regulatory scenarios.

How can Compliance Manager lower my costs and mitigate risk? Through the efficient re-use of information and technology assets, providing a reduction in ongoing operational costs associated with hardware, software, training and upgrades. By centralizing compliance data within a single solution and automating the business rules and reporting requirements, Compliance Manager also reduces the errors associated with manual processes.

### Deployment Models

- ASP
- Hosted
- Licensed

### Product Release Status

Initial product release to be available in the first quarter of 2007. The first release will support the following regulations:

- Call Report (FR 031 and 041) via prebuilt integration with FIS Regulatory Solutions CallReporter Premier
- Federal Reserve (FR) 2314 and 2314S – Financial statements of foreign subsidiaries of U.S. banking organizations
- Federal Reserve (FR) 2416 – Weekly report of assets and liabilities for large banks
- Federal Reserve (FR) 2069 – Weekly report of assets and liabilities for large U.S. branches and agencies
- Federal Reserve (FR) 2644 – Weekly report of selected assets
- Federal Reserve (FR) 2502Q – Quarterly report of assets and liabilities of large foreign offices of U.S. banks
- Federal Reserve (FR) 2900 – Report of transaction accounts, other deposits and vault cash
- FFIEC 004 – Report on indebtedness of executive officers and principal shareholders and their related interests to correspondent banks

### Contact Us



**For more information regarding this product, including availability, please contact Fidelity National Information Services at 888.323.0310.**



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